

Gravitational catalysis of dynamical symmetry breaking

D. Ebert¹, V. Ch. Zhukovsky² and A. V. Tyukov²

¹Institut für Physik
Humboldt-Universität zu Berlin, Germany

²Faculty of Physics, Department of Theoretical
Physics, Moscow State University, 119991, Moscow, Russia

Steklov Mathematical Institute RAS, January 2010

- Asymptotic freedom of QCD at $E \rightarrow \infty$.
The invariant charge grows at $E \rightarrow 0$
 \Rightarrow perturbative QCD is not applicable at low energies

- Asymptotic freedom of QCD at $E \rightarrow \infty$.
The invariant charge grows at $E \rightarrow 0$
 \Rightarrow perturbative QCD is not applicable at low energies
- To describe the low energy physics one needs to use the effective models

- Asymptotic freedom of QCD at $E \rightarrow \infty$.
The invariant charge grows at $E \rightarrow 0$
 \Rightarrow perturbative QCD is not applicable at low energies
- To describe the low energy physics one needs to use the effective models
- The Nambu–Jona-Lasinio model (NJL)
Y. Nambu and G. Jona-Lasinio, Phys. Rev. **124**, 246 (1961);
124, 246 (1961)

(Nambu N.P. 2008)

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R$$

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0$$

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0 \longrightarrow SU(N_f)_V$$

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0 \longrightarrow SU(N_f)_V$$

- Color symmetry:

$$SU(N_c)$$

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0 \longrightarrow SU(N_f)_V$$

- Color symmetry:

$$SU(N_c) \xrightarrow{CSC} \langle qq \rangle \neq 0$$

Dynamical symmetry breaking

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0 \longrightarrow SU(N_f)_V$$

- Color symmetry:

$$SU(N_c) \xrightarrow{CSC} \langle qq \rangle \neq 0 \longrightarrow SU(N_c - 1)$$

Dynamical symmetry breaking

Symmetries of QCD (NJL):

- Chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{D\chi SB} \langle \bar{q}q \rangle \neq 0 \longrightarrow SU(N_f)_V$$

- Color symmetry:

$$SU(N_c) \xrightarrow{CSC} \langle qq \rangle \neq 0 \longrightarrow SU(N_c - 1)$$

The existence of color superconductivity (CSC) is predicted for heavy ion collisions and in interiors of neutron stars.

The influence of spacetime dimensionality on symmetry breaking

- NJL in $D=3+1$: symmetry may be broken only at $G > G_c$

The influence of spacetime dimensionality on symmetry breaking

- NJL in $D=3+1$: symmetry may be broken only at $G > G_c$
- Gross-Neveu in $D=1+1$: symmetry is broken even at $G \ll 1$:
 $G_c = 0$ in $(1 + 1)$

The influence of external fields on symmetry breaking

The **catalysis of symmetry breaking** (symmetry breaking at weak coupling):

The influence of external fields on symmetry breaking

The **catalysis of symmetry breaking** (symmetry breaking at weak coupling):

- Chemical potential μ (Fermi surface)(BCS, 1957)
- Magnetic field B (lowest Landau level)
Gusynin, Miransky and Shovkovy, 1994;
D=3 – K.G. Klimenko, 1992, 1994;
D. Ebert and V.Ch. Zhukovsky, 1997
- Constant negative curvature R of hyperbolic space H^D
Gorbar, 1999

The physical reason for catalysis is the **dimensional reduction** from $(3 + 1)$ to $(1 + 1)$ in the infrared region.

The influence of external fields on symmetry breaking

The **catalysis of symmetry breaking** (symmetry breaking at weak coupling):

- Chemical potential μ (Fermi surface)(BCS, 1957)
- Magnetic field B (lowest Landau level)
Gusynin, Miransky and Shovkovy, 1994;
D=3 – K.G. Klimenko, 1992, 1994;
D. Ebert and V.Ch. Zhukovsky, 1997
- Constant negative curvature R of hyperbolic space H^D
Gorbar, 1999

The physical reason for catalysis is the **dimensional reduction** from $(3 + 1)$ to $(1 + 1)$ in the infrared region.

The influence of external fields on symmetry breaking

The **catalysis of symmetry breaking** (symmetry breaking at weak coupling):

- Chemical potential μ (Fermi surface)(BCS, 1957)
- Magnetic field B (lowest Landau level)
Gusynin, Miransky and Shovkovy, 1994;
D=3 – K.G. Klimenko, 1992, 1994;
D. Ebert and V.Ch. Zhukovsky, 1997
- Constant negative curvature R of hyperbolic space H^D
Gorbar, 1999

The physical reason for catalysis is the **dimensional reduction** from $(3 + 1)$ to $(1 + 1)$ in the infrared region.

- The influence of **negative curvature of hyperbolic space** on symmetry breaking

- The influence of **negative curvature of hyperbolic space** on symmetry breaking
- The account of **diquark condensate** and study of **CSC**

- The influence of **negative curvature of hyperbolic space** on symmetry breaking
- The account of **diquark condensate** and study of **CSC**
- The influence of μ and T on **phase transitions**

The extended NJL model with **interacting diquarks**:

$$\mathcal{L} = \bar{q} [i\gamma^\mu \nabla_\mu + \mu\gamma^0] q + \frac{G_1}{2N_c} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2 \right] + \frac{G_2}{N_c} \left[i\bar{q}_c \epsilon \epsilon^b \gamma^5 q \right] \left[i\bar{q} \epsilon \epsilon^b \gamma^5 q_c \right]$$

The Lagrangian is invariant under the chiral $SU(2)_L \times SU(2)_R$ and color $SU(3)_c$ groups.

Hubbard-Stratonovich transformation:

$$\begin{aligned}\tilde{\mathcal{L}} = & \bar{q} [i\gamma^\mu \nabla_\mu + \mu\gamma^0] q - \bar{q} (\sigma + i\gamma^5 \vec{\tau} \vec{\pi}) q - \frac{3}{2G_1} (\sigma^2 + \vec{\pi}^2) - \\ & - \frac{3}{G_2} \Delta^{*b} \Delta^b - \Delta^{*b} [i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q] - \Delta^{*b} [i\bar{q} \epsilon \epsilon^b \gamma^5 C \bar{q}^t]\end{aligned}$$

The constrains for **boson fields**:

$$\Delta^b = -\frac{G_2}{3} i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q, \quad \sigma = -\frac{G_1}{3} \bar{q} q, \quad \vec{\pi} = -\frac{G_1}{3} \bar{q} i\gamma^5 \vec{\tau} q$$

Hubbard-Stratonovich transformation:

$$\begin{aligned}\tilde{\mathcal{L}} = & \bar{q} [i\gamma^\mu \nabla_\mu + \mu\gamma^0] q - \bar{q} (\sigma + i\gamma^5 \vec{\tau} \vec{\pi}) q - \frac{3}{2G_1} (\sigma^2 + \vec{\pi}^2) - \\ & - \frac{3}{G_2} \Delta^{*b} \Delta^b - \Delta^{*b} [i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q] - \Delta^{*b} [i\bar{q} \epsilon \epsilon^b \gamma^5 C \bar{q}^t]\end{aligned}$$

The constraints for boson fields:

$$\Delta^b = -\frac{G_2}{3} i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q, \quad \sigma = -\frac{G_1}{3} \bar{q} q, \quad \vec{\pi} = -\frac{G_1}{3} \bar{q} i\gamma^5 \vec{\tau} q$$

Symmetry breaking:

- $\langle \sigma \rangle \neq 0 \longrightarrow$ **chiral** symmetry breaking

Hubbard-Stratonovich transformation:

$$\begin{aligned}\tilde{\mathcal{L}} = & \bar{q} [i\gamma^\mu \nabla_\mu + \mu\gamma^0] q - \bar{q} (\sigma + i\gamma^5 \vec{\tau} \vec{\pi}) q - \frac{3}{2G_1} (\sigma^2 + \vec{\pi}^2) - \\ & - \frac{3}{G_2} \Delta^{*b} \Delta^b - \Delta^{*b} [i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q] - \Delta^{*b} [i\bar{q} \epsilon \epsilon^b \gamma^5 C \bar{q}^t]\end{aligned}$$

The constrains for boson fields:

$$\Delta^b = -\frac{G_2}{3} i\bar{q}^t C \epsilon \epsilon^b \gamma^5 q, \quad \sigma = -\frac{G_1}{3} \bar{q} q, \quad \vec{\pi} = -\frac{G_1}{3} \bar{q} i\gamma^5 \vec{\tau} q$$

Symmetry breaking:

- $\langle \sigma \rangle \neq 0 \longrightarrow$ chiral symmetry breaking
- $\langle \Delta^b \rangle \neq 0 \longrightarrow$ color symmetry breaking

The effective potential in the mean field approximation

Mean fields:

$$\sigma(x) = \langle \sigma \rangle + \tilde{\sigma}(x), \quad \vec{\pi}(x) = \langle \vec{\pi} \rangle + \vec{\tilde{\pi}}(x), \quad \Delta^b(x) = \langle \Delta^b \rangle + \tilde{\Delta}^b(x)$$

Ground state:

$$\langle \Delta^1 \rangle = \langle \Delta^2 \rangle = \langle \vec{\pi} \rangle = 0 \quad \text{and} \quad \langle \sigma \rangle, \langle \Delta^3 \rangle \neq 0$$

The effective potential in the mean field approximation

Mean fields:

$$\sigma(x) = \langle \sigma \rangle + \tilde{\sigma}(x), \quad \vec{\pi}(x) = \langle \vec{\pi} \rangle + \vec{\tilde{\pi}}(x), \quad \Delta^b(x) = \langle \Delta^b \rangle + \tilde{\Delta}^b(x)$$

Ground state:

$$\langle \Delta^1 \rangle = \langle \Delta^2 \rangle = \langle \vec{\pi} \rangle = 0 \quad \text{and} \quad \langle \sigma \rangle, \langle \Delta^3 \rangle \neq 0$$

Effective potential:

$$V_{\text{eff}} = \frac{3\sigma^2}{2G_1} + \frac{3\Delta\Delta^*}{G_2} + \tilde{V}_{\text{eff}}; \quad \tilde{V}_{\text{eff}} = -\frac{S_q}{v}, \quad v = \int d^4x \sqrt{-g}$$

$$S_q(\sigma, \Delta) = -i \ln \text{Det} \left[i\hat{\nabla} - \sigma + \mu\gamma^0 \right] \\ - \frac{i}{2} \ln \text{Det} \left[4|\Delta|^2 + (-i\hat{\nabla} - \sigma + \mu\gamma^0)(i\hat{\nabla} - \sigma + \mu\gamma^0) \right]$$

Hyperbolic space $R \otimes H^3$

The metrics of hyperbolic space:

$$ds^2 = dt^2 - a^2(d\theta^2 + \sinh^2 \theta d\Omega_2)$$

a – the radius of the hyperboloid,
 $d\Omega_2$ – the metrics on unit 2-sphere

The scalar curvature

$$R = -\frac{6}{a^2} < 0$$

γ -matrices, covariant derivative and spin connection:

$$\{\gamma_\mu(x), \gamma_\nu(x)\} = 2g_{\mu\nu}(x), \quad \{\gamma_{\hat{a}}, \gamma_{\hat{b}}\} = 2\eta_{\hat{a}\hat{b}}, \quad \eta_{\hat{a}\hat{b}} = \text{diag}(1, -1, -1, -1)$$

$$g_{\mu\nu}g^{\nu\rho} = \delta_\mu^\rho, \quad g^{\mu\nu}(x) = e_{\hat{a}}^\mu(x)e^{\nu\hat{a}}(x), \quad \gamma_\mu(x) = e_{\hat{a}}^\mu(x)\gamma_{\hat{a}}.$$

$$\nabla_\mu = \partial_\mu + \Gamma_\mu, \quad \Gamma_\mu = \frac{1}{2}\omega_\mu^{\hat{a}\hat{b}}\sigma_{\hat{a}\hat{b}}, \quad \sigma_{\hat{a}\hat{b}} = \frac{1}{4}[\gamma_{\hat{a}}, \gamma_{\hat{b}}],$$

$$\omega_\mu^{\hat{a}\hat{b}} = \frac{1}{2}e^{\hat{a}\lambda}e^{\hat{b}\rho}[C_{\lambda\rho\mu} - C_{\rho\lambda\mu} - C_{\mu\lambda\rho}], \quad C_{\lambda\rho\mu} = e_{\hat{\lambda}}^{\hat{a}}\partial_{[\rho}e_{\mu]\hat{a}}.$$

Thermodynamic potential and gap equations

The regularized **effective potential at finite temperature** or **thermodynamic potential (TDP)**:

$$\begin{aligned}\Omega^{\text{reg}}(\sigma, \Delta) &= 3 \left(\frac{\sigma^2}{2G_1} + \frac{|\Delta|^2}{G_2} \right) \\ &- \frac{2}{\pi^2} \int_0^\Lambda dp \left(p^2 + \frac{|R|}{24} \right) \left\{ E_p + T \ln \left(1 + e^{-\beta(E_p \pm \mu)} \right) \right. \\ &+ \left. \sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2} + 2T \ln \left(1 + e^{-\beta\sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2}} \right) \right\}\end{aligned}$$

Thermodynamic potential and gap equations

The regularized **effective potential at finite temperature** or **thermodynamic potential (TDP)**:

$$\begin{aligned}\Omega^{\text{reg}}(\sigma, \Delta) &= 3 \left(\frac{\sigma^2}{2G_1} + \frac{|\Delta|^2}{G_2} \right) \\ &- \frac{2}{\pi^2} \int_0^\Lambda dp \left(p^2 + \frac{|R|}{24} \right) \left\{ E_p + T \ln \left(1 + e^{-\beta(E_p \pm \mu)} \right) \right. \\ &+ \left. \sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2} + 2T \ln \left(1 + e^{-\beta \sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2}} \right) \right\}\end{aligned}$$

Thermodynamic potential and gap equations

The regularized **effective potential at finite temperature** or **thermodynamic potential (TDP)**:

$$\begin{aligned}\Omega^{\text{reg}}(\sigma, \Delta) &= 3 \left(\frac{\sigma^2}{2G_1} + \frac{|\Delta|^2}{G_2} \right) \\ &- \frac{2}{\pi^2} \int_0^\Lambda dp \left(p^2 + \frac{|R|}{24} \right) \left\{ E_p + T \ln \left(1 + e^{-\beta(E_p \pm \mu)} \right) \right. \\ &+ \left. \sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2} + 2T \ln \left(1 + e^{-\beta\sqrt{(E_p \pm \mu)^2 + 4|\Delta|^2}} \right) \right\}\end{aligned}$$

The **global minimum point** of TDP is determined from the **gap equations**:

$$\frac{\partial \Omega^{\text{reg}}}{\partial \sigma} = 0, \quad \frac{\partial \Omega^{\text{reg}}}{\partial |\Delta|} = 0$$

Flat case

$\sigma \neq 0, \Delta = 0 \quad \mu = 0, T = 0:$

Dimensionless quantities: $x = \frac{\sigma}{\Lambda}, \quad g = \frac{\Lambda^2}{\pi^2} G_1, \quad r = \frac{R}{\Lambda^2}$

Chiral symmetry breaking

Flat case

$\sigma \neq 0, \Delta = 0, \mu = 0, T = 0$:

Dimensionless quantities: $x = \frac{\sigma}{\Lambda}, \quad g = \frac{\Lambda^2}{\pi^2} G_1, \quad r = \frac{R}{\Lambda^2}$

The Gap equation:

$$\frac{1}{g} = \sqrt{1+x^2} - x^2 \ln \frac{1+\sqrt{1+x^2}}{x}$$

The solution exists only at $g > g_c = 1$

Curved case

$\sigma \neq 0, \Delta = 0, \mu = 0, T = 0$:

Dimensionless quantities: $x = \frac{\sigma}{\Lambda}, \quad g = \frac{\Lambda^2}{\pi^2} G_1, \quad r = \frac{R}{\Lambda^2}$

The Gap equation:

$$\frac{1}{g} = \sqrt{1+x^2} - x^2 \ln \frac{1+\sqrt{1+x^2}}{x} + \frac{|r|}{12} \ln \frac{1+\sqrt{1+x^2}}{x}$$

The solution exists for $\forall g$, in particular at $g < g_c = 1$

Curved case

$\sigma \neq 0, \Delta = 0, \mu = 0, T = 0$:

Dimensionless quantities: $x = \frac{\sigma}{\Lambda}, \quad g = \frac{\Lambda^2}{\pi^2} G_1, \quad r = \frac{R}{\Lambda^2}$

The Gap equation:

$$\frac{1}{g} = \sqrt{1+x^2} - x^2 \ln \frac{1+\sqrt{1+x^2}}{x} + \frac{|r|}{12} \ln \frac{1+\sqrt{1+x^2}}{x}$$

The solution exists for $\forall g$, in particular at $g < g_c = 1$

Gravitational catalysis of symmetry breaking

If $\sigma \ll \Lambda, \sigma^2 \ll \frac{|R|}{12}$:

$$\sigma = 2\Lambda \exp \left[-\frac{12}{|r|} \left(\frac{1}{g} - 1 \right) \right] = 2\Lambda \exp \left[-\frac{12\pi^2(1-g)}{|R|G_1} \right]$$

The comparison between gravitational and magnetic fields

- Magnetic field

$$\sigma = \sqrt{\frac{|eB|}{\pi}} \exp \left[-\frac{2\pi^2(1-g)}{|eB|G_1} \right],$$

The comparison between gravitational and magnetic fields

- Magnetic field

$$\sigma = \sqrt{\frac{|eB|}{\pi}} \exp \left[-\frac{2\pi^2(1-g)}{|eB|G_1} \right],$$

- Gravitational field

$$\sigma = 2\Lambda \exp \left[-\frac{12\pi^2(1-g)}{|R|G_1} \right]$$

The growing $|R|$ leads to increasing of σ .

The mixed phase

$$\sigma \neq 0, \Delta \neq 0, A = \frac{1}{g} = \frac{\pi^2}{\Lambda^2 G_1}, B = \frac{3\pi^2}{4\Lambda^2 G_2}, A \leq B < 1:$$

The mixed phase

$$\sigma \neq 0, \Delta \neq 0, A = \frac{1}{g} = \frac{\pi^2}{\Lambda^2 G_1}, B = \frac{3\pi^2}{4\Lambda^2 G_2}, A \leq B < 1:$$

- Zero temperature:

$$\sigma(0) = 2\Lambda \exp \left[-\frac{12}{|r|} (3A - 2B - 1) \right],$$

$$m_*(0) = \sqrt{\sigma^2 + 4|\Delta|^2} = 2\Lambda \exp \left[-\frac{12}{|r|} (B - 1) \right]$$

The mixed phase

$$\sigma \neq 0, \Delta \neq 0, A = \frac{1}{g} = \frac{\pi^2}{\Lambda^2 G_1}, B = \frac{3\pi^2}{4\Lambda^2 G_2}, A \leq B < 1:$$

- Zero temperature:

$$\sigma(0) = 2\Lambda \exp \left[-\frac{12}{|r|} (3A - 2B - 1) \right],$$

$$m_*(0) = \sqrt{\sigma^2 + 4|\Delta|^2} = 2\Lambda \exp \left[-\frac{12}{|r|} (B - 1) \right]$$

- Finite temperature:

$$\begin{aligned}\sigma(T) &= \sigma(0) \exp[-I_1(\beta\sigma(T))], \\ m_*(T) &= m_*(0) \exp[-I_1(\beta m_*(T))]\end{aligned}$$

The mixed phase

$$\sigma \neq 0, \Delta \neq 0, A = \frac{1}{g} = \frac{\pi^2}{\Lambda^2 G_1}, B = \frac{3\pi^2}{4\Lambda^2 G_2}, A \leq B < 1:$$

- Zero temperature:

$$\sigma(0) = 2\Lambda \exp \left[-\frac{12}{|r|} (3A - 2B - 1) \right],$$

$$m_*(0) = \sqrt{\sigma^2 + 4|\Delta|^2} = 2\Lambda \exp \left[-\frac{12}{|r|} (B - 1) \right]$$

- Finite temperature:

$$\begin{aligned}\sigma(T) &= \sigma(0) \exp[-I_1(\beta\sigma(T))], \\ m_*(T) &= m_*(0) \exp[-I_1(\beta m_*(T))]\end{aligned}$$

- Critical temperatures:

$$T_c^\sigma = \pi^{-1} e^C \sigma(0), \quad T_c^\Delta = \pi^{-1} e^C m_*(0)$$

The dependence of condensates on temperature

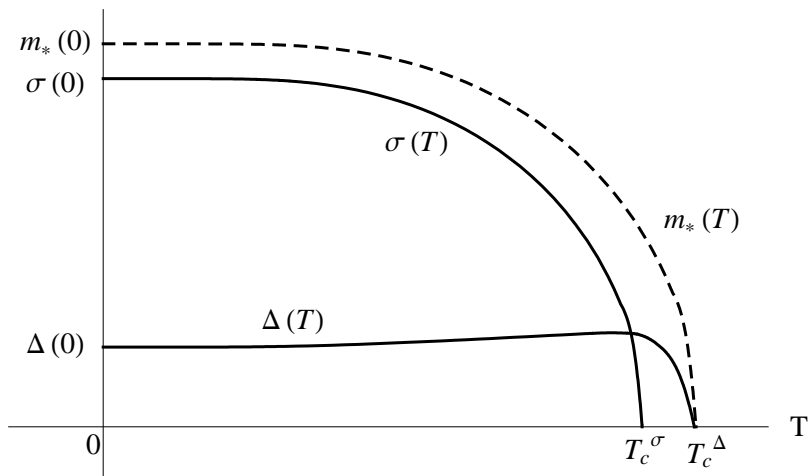


Figure: Condensates σ , Δ and m_* as functions of temperature inside the mixed phase.

Phase transitions at $\mu \neq 0$

$$G_2 = \frac{3}{8} G_1$$

$$g = G_1 \Lambda^2 / \pi^2 = 1.404 \longrightarrow \sigma = 350 \text{ MeV at } R = 0.$$

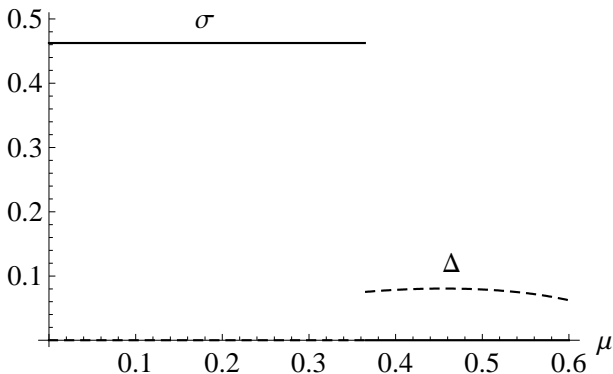


Figure: Condensates σ and Δ as functions of μ at $|r| = 1$.

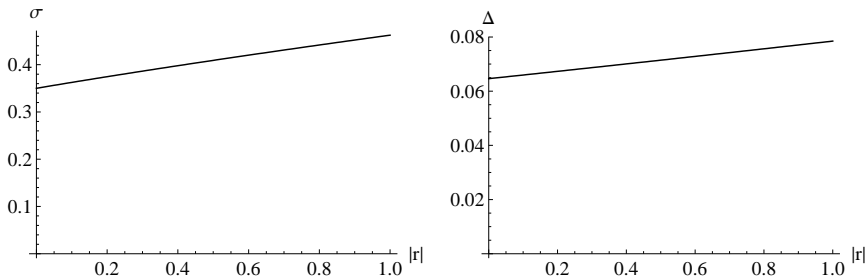


Figure: Condensates σ at $\mu = 200$ MeV (left) and Δ at $\mu = 400$ MeV (right) as functions of $|r|$.

At $g > 1$ curvature leads to an enhancement of symmetry breaking.

- At $g < 1$:
negative curvature R catalyzes symmetry breaking

- At $g < 1$:
 - negative curvature R catalyzes symmetry breaking
 - the effective dimensional reduction takes place from $(3 + 1)$ to $(1 + 1)$ for fermion dynamics

- At $g < 1$:
negative curvature R catalyzes symmetry breaking
the effective dimensional reduction takes place from $(3 + 1)$ to $(1 + 1)$ for fermion dynamics
- At $g > 1$:
curvature leads to an enhancement of symmetry breaking

Thank you for your attention!